

DIVERSA

TRUSTEES LIMITED

Executive Officer & Directors Information

September 2020

Summary

Directors

Name	Position	Period
Vincent Plant	Non-Executive Director, Chair	4 May 2017 - Present
Murray Jones	Non-Executive Director	1 September 2014 - Present
Robyn Fitzroy	Non-Executive Director	18 December 2017 - Present
Fiona McNabb	Non-Executive Director	28 June 2019 - Present
Andrew Peterson	Executive Director	28 June 2019 – Present

Executive Officers

Name	Position	Period
Robert Good	Company Secretary	1 September 2014 - Present
Darran Goodger	Chief Financial Officer	28 June 2019 - Present
Rachel Griffith	Head of Product and Services	28 June 2019 – Present
Joshua Haymes	Senior Account Executive	1 September 2018 - Present
Simon Duncan	Senior Account Executive	29 January 2019 – Present

Information

Vincent Plant

Non-Executive Director, Chair

Vin Plant is a Sydney based financial services specialist and the Chairman of the Diversa Trustees Board. Vin was appointed to the Board on 4 May 2017. He also chairs the Investment Committee and is a member of the Audit, Compliance, Risk & Remuneration Committee.

Vin Plant is also the Chairman of the CCSL Limited Board.

He served as a non-executive director on the PayPal Australia Board from 2009 to 2016 and was Chairman of PayPal's Audit & Risk Committee between 2010 and 2016. A former investment and consumer banker, he was a Partner at HSW Capital from 2009 to 2010 and held various senior positions at Standard Chartered Bank from 1991 to 2008.

Vin is a fellow of FINSIA and a member of the Institute of Company Directors. He holds a Bachelor of Business Administration from George Washington University and a Master of Business Administration from Columbia University.

Murray Jones

Non-Executive Director, Chair of the Audit, Compliance & Risk, Remuneration Committee

Murray has worked within the financial services industry for over 29 years. He has provided advice on corporate governance and strategic planning issues to institutions; acted as an independent expert under ASIC enforceable undertakings; chaired audit risk & compliance committees; been appointed as a responsible manager for a number of AFS Licence holders spanning listed and unlisted fund managers and financial advisory businesses.

Murray joined the Diversa Trustees board in September 2014. He is also a non-executive director of the CCSL Limited Board.

He is currently Managing Director of Compliance & Risk Services Pty Ltd which has acted for over 400 financial institutions in establishing and supporting financial services businesses. His company has also developed a web-based software solution, CRS-Certus, which is used by a number of financial institutions to manage their risk and compliance obligations.

Murray's expertise spans retail and wholesale funds management, securities operations, superannuation, insurance, corporate advisory and financial planning.

Robyn Fitzroy

Non-Executive Director

Robyn joined the Diversa Trustees board in December 2017 and is a member of the Investment Committee. Robyn is a former Executive Director of Macquarie Bank Group where she initiated and ran a profitable direct distribution business for which she set up a business development call centre, a marketing intelligence/data analysis unit, an e-commerce platform and product development and management. She has an extensive background in marketing, business and governance with over 20 years' experience in the financial services industry.

She is also a non-executive director of the Self-Managed Superannuation Fund Association, Gateway Bank and the Football Federation of Australia. Committee experience includes Chair of the Nominations & Remuneration Committee, Chair of the Governance, Nominations & Remuneration Committee, Chair of the FFA Governance Committee and membership of Risk, Finance Audit and Investment Committees across the various boards.

Robyn founded and is principal of her own governance consultancy which specialises in board and CEO performance evaluations. She is a former non-executive director of CUSCAL, Habitat for Humanity Australia and MLC School. Robyn is also an accredited facilitator and author of courses for the Australian Institute of Company Directors.

Fiona McNabb

Non-Executive Director

Fiona McNabb is an experienced non-executive director with over 25 years' corporate experience in actuarial consulting, financial markets, derivatives pricing and trading, treasury, investments, risk management, compliance, and governance.

Fiona joined the Diversa Trustees board in June 2019 and is a member of the Audit, Compliance & Risk, Remuneration Committee. She is also a non-executive director of CCSL Limited, Tidswell Financial Service Limited and Certes CT. She has experience as a director in a wide range of industries, including agriculture, forestry, health, education, sport, superannuation and investments.

Fiona is also a Senior Industry Fellow in the Department of Banking & Finance at Monash University where she currently lectures in investment banking and ethics. She holds a Bachelor of Science (Mathematics) degree, is a Fellow of the Australian Institute of Company Directors, a Senior Fellow of the Financial Services Institute of Australasia, a Graduate of the Australian Institute of Superannuation Trustees and is RG146 compliant for superannuation.

Fiona is passionate about women in leadership, finance and education.

Andrew Peterson

Executive Director

Andrew Peterson is a senior executive with more than 25 years' experience in the financial services industry, with a particular focus on asset management. Andrew has held roles in executive leadership, sales, research, compliance, and product development roles across the retail and wholesale sectors at organisations such as Required Financial Services, Aviva Investors/Antares Equities, Timbercorp and IOOF. Andrew started his career as a tax lawyer with Arthur Anderson, Minter Ellison and State Street Limited and has forged a reputation as a commercially focused, results driven, strategic executive.

Andrew joined the Diversa Trustees board in June 2019 and is a member of the Investment Committee. Andrew is also an executive director of CCSL Limited, Tidswell Financial Services Ltd, Responsible Entity Partners Limited, Certes CT Pty Ltd and Certes CT (NSW) Pty Ltd, and Certes SPV Management Pty Ltd.

Andrew holds a Bachelor of Economics/Bachelor of Law degree from Monash University.

Robert Good

Company Secretary

Robert has over 40 years of experience in the superannuation and financial services industry. In that time, he has held roles in executive management, administration, technical services, consulting, sales, licence developments and investments. His experience includes work with industry funds, master trusts, corporate funds, and self-managed superannuation funds.

Robert holds a Bachelor of Economics degree as well as a Graduate Diploma in Accounting. He has also completed Modules 1 – 7 of the Diploma of Financial Planning.

Darran Goodger

Chief Financial Officer

Darran has in excess of 25 years' experience in the financial services industry, with a particular focus in funds and investment management. Darran has held executive leadership roles in the past, including Chief Financial Officer and Chief Operating Officer roles in a number of global hedge funds. He has also held Directorship positions during this time with several funds management companies.

Darran is a leader who has worked successfully with Boards, senior management and teams to develop and drive the strategic and operational goals of the organisation. In particular, he has successfully navigated businesses through all economic cycles, periods of growth and contraction.

Darran is a Fellow Member of the Association of Chartered Certified Accountants (FCCA), a Fellow Member of Governance Institute Australia (FGIA), and a Member of the Australian Institute of Company Directors.

Rachel Griffith

Head of Product and Services

Rachel has had over 20 years' experience in the financial services industry across investment banking, funds management and financial advice. She has had a focus in investment research and compliance. She has held senior leadership positions as well as being the company secretary of a listed company.

Rachel is also the Company Secretary for Tidswell Financial Services Ltd, Responsible Entity Partners Limited, Certes CT Pty Ltd and Certes CT (NSW) Pty Ltd, and Certes SPV Management Pty Ltd.

Rachel holds a Bachelor of Economics, a Master of Economics, and a Graduate Diploma of Applied Corporate Governance. She is Fellow of FINSIA.

Joshua Haymes

Senior Account Executive

Josh has had almost 20 years' experience in the superannuation industry and has been with the Trustee since 2007. Josh has held a leadership role since 2018, leading a team of Account Executives focused on driving better member outcomes for members of high growth platform clients.

Josh is highly experienced in superannuation wrap platforms, product management, client service management, fund administration and investment governance.

Josh holds a Bachelor of Commerce/Arts Marketing and Psychology degree from Deakin University.

Simon Duncan

Senior Account Executive

Simon has worked in the superannuation industry for 21 years and is highly experienced in superannuation operations and administration with extensive hands-on knowledge of highly complex corporate superannuation funds, insurance funds and master trusts.

Simon has been with the Trustee since 2006 and has been closely involved with the onboarding of a number of superannuation funds and managing a multitude of different service providers to the superannuation industry.

Simon holds a Certificate IV in Human Resources and has completed his RG146 in Superannuation.