

Sargon Small APRA Fund: Complying Fund Letter

To Whom It May Concern

RE: SARGON SMALL APRA FUND

Tidswell Financial Services Ltd (Tidswell) wishes to confirm that the trust deed to be used for the Sargon Small APRA Fund (Fund):

- Complies with the requirements of the Superannuation Industry (Supervision) Act 1993;
- Superannuation Industry (Supervision) Regulations 1994 (SIS);
- Provides for benefits to be transferred into the Fund; and
- Complies with the preservation requirements set out in SIS.

In the event that the Fund's complying status is revoked, the Trustee would receive notice to that effect, under section 40 of SIS. This would mean that the relevant Fund would not be able to receive any further contributions to it. The Trustee confirms that it has not received nor does it expect to receive any such notice.

The Fund accepts all contribution types, including superannuation guarantee contributions from any employer on behalf of a member.

As required the Fund will apply to the Australian Taxation Office for an Australian Business Number and/or to the Australian Prudential Regulation Authority (APRA) for a Registrable Superannuation Entity number. Tidswell will provide copies of the relevant application forms upon request.

Tidswell acts as Trustee of the Fund and is regulated by APRA under SIS.

Yours sincerely,

Andrew Peterson
Director and Chief Operating Officer
Tidswell Financial Services Limited

This document has been prepared and issued by Tidswell Financial Services Ltd (TFSL) (ABN 55 010 810 607, AFSL 237628, RSE L0000888). TFSL is the Trustee of the Sargon Small APRA Fund (Fund). The terms 'our', 'we', 'us', 'Sargon' and 'Trustee' refer to TFSL.